



ATTORNEY DOCKET NO. 8514-100

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Ned HOFFMAN, David Ferrin PARE JR., Philip Dean LAPSLEY, and
Jonathan Alexander LEE

Serial No.: 09/215,058

Examiner: Myhre, James W.

Filing Date: December 17, 1998

Group Art Unit: 2162

Title: TOKENLESS FINANCIAL ACCESS SYSTEM

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Technology Center 2100

Box DD
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97 (c) together with either a:

☒ Statement under 37 CFR 1.97 (e)(2), or

☐ a \$180 fee under 37 CFR 1.17 (p), or

(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

☐ under 37 CFR 1.97 (d) together with:

☐ Statement under 37 CFR 1.97 (e), or

☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alexander C. Johnson, Jr.
Reg. No. 29,396

MARGER JOHNSON & McCOLLOM, P.C.
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I HEREBY CERTIFY THAT THIS COR-
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ARLINGTON, VA 22202-3513

ON 18 April 2002
Alex Johnson Jr.



PATENT
Attorney's Do. No. 8514-100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned HOFFMAN, David Ferrin PARE JR., Philip Dean LAPSLEY, and
Jonathan Alexander LEE

Serial No. 09/215,058

Group Art Unit: 2162

Filed: December 17, 1998

Examiner: Myhre, James W.

For: TOKENLESS FINANCIAL ACCESS SYSTEM

BOX DD
Commissioner for Patents
Washington, D.C. 20231

STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT
(37 CFR 1.97(e))

I, Alexander C. Johnson, Jr., the person signing below state that:

no information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this Statement after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Statement.

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.



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PATENT TRADEMARK OFFICE

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Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alexander C. Johnson, Jr., Reg. No. 29,396

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ON 12 April 2002